

FORM LM-30

LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

Form approved
Office of Management
and Budget
No. 1215-0188
Expires 11-30-2006

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.

For Official Use Only

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

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1. File Number U - <u>11789</u>	2. Fiscal Year Covered From: <u>1</u> / <u>1</u> / 2004 Through: <u>12</u> / <u>31</u> / 2004
3. Name and address of person filing. Name <u>Ronald G Lucas</u> P.O. Box, Bldg., Room No., if any Street <u>35 Tyrol Drive</u> City <u>Cheektowaga</u> State <u>New York</u> ZIP Code + 4 <u>14227</u>	4. Name, file number, and address of labor organization. Name <u>Teamsters Local Union 264</u> Labor Organization File Number <u>038-823</u> P.O. Box, Building and Room Number, if any Street <u>35 Tyrol Drive</u> City <u>Cheektowaga</u> State <u>New York</u> ZIP Code + 4 <u>14227</u>
5. Position in labor organization. <u>President</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name Trade Name, if any: P.O. Box, Bldg., Room No., if any Street City State ZIP Code + 4	7.a. Nature of Interest, Transaction, or Income. 7.b. Amount.

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed

Ronald G Lucas

On

08/15/2005

Date

716-668-8007

Telephone Number

Name of Person Filing <u>Ronald Lucas</u>	File Number <u>U-</u>
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B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name <u>NYS Teamsters Conf. Pensions & Retirement Fund</u></p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any <u>PO Box 4928</u></p> <p>Street _____</p> <p>City <u>Syracuse</u></p> <p>State <u>New York</u> ZIP Code + 4 <u>13221</u></p>	<p>9. Business deals with:</p> <p><input checked="" type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p>	<p>11.a. Nature of such dealing.</p> <p><u>The NYS Pension Fund is a jointly administered Taft Hartley trust fund. Employers that are party to collective bargaining agreements with LU 264 contribute to the Trust and Ronald Lucas is a Union appointed Trustee to the Fund</u></p>
	<p>11.b. Approximate dollar value of such dealing. _____</p>
	<p>12.a. Nature of interest held or income received.</p> <p><u>Reimbursement for expenses to attend Trustees meetings; Trustee membership fee for IFEBP; Registration fees for IFEBP conferences and reimbursement for expenses to attend IFEBP conference</u></p>
	<p>12.b. Amount. _____ \$3,746</p>

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name <u>Wright Investors</u></p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street <u>440 Wheeler Farms Rd</u></p> <p>City <u>Milford</u></p> <p>State <u>Connecticut</u> ZIP Code + 4 <u>06460</u></p>	<p>14.a. Nature of payment.</p> <p><u>Wright Investors sponsored a dinner at an IFEBP conference that Ronald Lucas and his spouse Debra Lucas attended</u></p>
<p>13.b. Is the Business an Employer <input type="checkbox"/> or Consultant <input type="checkbox"/> ?</p>	<p>14.b. Amount of payment. _____ <u>Est. \$200</u></p>

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name NYS Teamsters Council Health & Hospital Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any P.O. Box 4928

Street:

City Syracuse

State New York

ZIP Code + 4 13221-4928

9. Business deals with:

☒ a. Labor Organization

b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

11.a. Nature of such dealing.

Fund is a jointly administered Taft Hartley Welfare Fund. Employers that are party to collective bargaining agreements with LU 264 contribute to the Fund. Ronald Lucas is a Union appointed Trustee to the Fund

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Reimbursement of expenses for attendance at Trustees meetings; Trustee membership fee for IFEBP; Registration fees for IFEBP conferences and Reimbursement of expenses for attendance at IFEBP conferences

12.b. Amount.

\$3,746

Name of Person Filing Ronald Lucas

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Northern Capital

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 8010 Excelsior Dr

City Madison

State Wisconsin ZIP Code + 4 53717

9. Business deals with:

☐ a. Labor Organization

☒ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name NYS Teamsters Conf Pension & Retirement Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any P.O. Box 4982

Street

City Syracuse

State New Mexico ZIP Code + 4 13221

11.a. Nature of such dealing.

Northern Capital is a investment manager to the NYS Teamsters Conf. Pension and Retirement Fund

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Northern sponsored lunch/golf at Trustees meeting that Ronald Lucas attended

12.b. Amount.

EST \$100

Name of Person Filing Ronald Lucas	File Number U-
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Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name Loomis Sayles and Co.</p> <p>Trade Name, if any:</p> <p>P.O. Box, Bldg., Room No., if any</p> <p>Street One Financial Center</p> <p>City Boston</p> <p>State Massachusetts ZIP Code + 4 02111</p>	<p>9. Business deals with:</p> <p><input type="checkbox"/> a. Labor Organization</p> <p><input checked="" type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employee's name.</p> <p>Name NYS Teamsters Conf. Pension & Retirement Fund</p> <p>Trade Name, if any:</p> <p>P.O. Box, Bldg., Room No., if any P.O. Box 4928</p> <p>Street</p> <p>City Syracuse</p> <p>State New York ZIP Code + 4 13221</p>	<p>11.a. Nature of such dealing.</p> <p>Loomis is an investment manager to the NYS Teamsters Pension Fund</p> <p>11.b. Approximate dollar value of such dealing.</p> <p>12.a. Nature of interest held or income received.</p> <p>Loomis sponsored a dinner at a Trustees meeting that Ronald Lucas and his spouse Debra Lucas attended</p> <p>12.b. Amount. EST \$200</p>

Name of Person Filing Ronald Lucas

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Batterymarch Financial Mgt

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 200 Claredon St

City Boston

State Massachusetts

ZIP Code + 4 02116

9. Business deals with:

☐ a. Labor Organization

☒ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employee's name.

Name NYS Teamsters Conf. Pension & Retirement Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any P.O. Box: 4928

Street

City Syracuse

State New York

ZIP Code + 4 13221

11.a. Nature of such dealing.

Batterymarch is an investment manager to the NYS Teamsters Pension Fund

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Batterymarch sponsored a dinner for the Fund that Ronald Lucas and his spouse Debra Lucas attended

12.b. Amount.

EST \$150

Name of Person Filing Ronald Lucas

File Number U-

Part B Continuation Page

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8. Name and address of Business (including trade name, if any).

Name State Street Bank & Trust

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1 Enterprise Dr.

City North Quincy

State Massachusetts ZIP Code +4 02171

9. Business deals with:

☐ a. Labor Organization

☒ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name NYS Teamsters Conf. Pension & Retirement Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any P.O. Box: 4928

Street

City Syracuse

State New York ZIP Code +4 13221

11.a. Nature of such dealing.

State St is the custodian bank for the NYS Teamsters Pension Fund and the NYS Teamsters Health Fund

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

State Street sponsored a Trustee dinner that Ronald Lucas and his spouse Debra Lucas attended

12.b. Amount.

Est \$150